

INNOVATION

— in the context of the fund management industry —



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View the event website
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Enquiries:

Email: hkifa@plus-concepts.com

Tel: (852) 8203 6091

Fax: (852) 3905 7075

www.plus-concepts.com/hkifa2014

Innovation – in the context of the fund management industry

You are invited to enroll into the 8th HKIFA annual conference to be held on November 3rd, 2014 (Monday) from 8:50 a.m. to 4:50 p.m. at the JW Marriott Hotel, Hong Kong.

With the theme "Innovation", this year's conference will have a list of distinguished speakers/panelists from the authorities and the industry to share insights on what does innovation mean for the fund management industry. We will explore the implications to the value chain - from product development to middle and back office; from sales and marketing to client relationship management. We will look at how managers have been revamping the business models to navigate all the changes; and how they can work more closely with the stakeholder groups to effectively leverage on these opportunities.

Event highlights include:

- How to further foster the development of the Hong Kong fund management industry?
- Financial innovation - implications on the regulatory front
- Innovation in distribution - will there be disruption?
- Regional cooperation, including the various fund passport initiatives
- Product innovation - how to balance risk management and growth?
- Leveraging operational innovation to deal with business issues
- The future pension development in Hong Kong

WHO SHOULD ATTEND

- Asset Allocators
- CEOs, CFOs, CIOs
- Compliance Officers
- Custodians & Administrators
- Distributors
- Family Offices/Endowments/Foundations
- Finance Directors
- Financial Planners
- Fund Managers

- Institutional Sales
- Investment Advisors
- Investment Consultants
- Legal Practitioners
- Private Bankers
- Product Managers
- Regulators
- Trustees
- Wealth Managers

TIME	PROGRAMME
8:15	Registration
8:50	Welcome Remarks Bruno Lee, HKIFA Chairman
9:00	How to further foster the development of the HK fund management industry? Keynote speech by Prof K C Chan, Secretary for Financial Services and the Treasury, The Government of the HKSAR
9:15	Financial innovations – prospects for the asset management industry Keynote speech by Alexa Lam, Deputy Chief Executive Officer, Executive Director - Investment Products, International & China, Securities and Futures Commission, Hong Kong
9:45	Coffee Break
10:15	Innovation in distribution – will there be disruption? Moderator: Lieven Debruyne, Chief Executive Officer, Hong Kong and Head of Intermediary, Asia Pacific, Schroder Investment Management (Hong Kong) Limited Panelists: <ul style="list-style-type: none"> - Donna Cotter, Vice President, Head of Asia Wealth Management, Manulife Financial Asia Limited - Elaine Lai, Head of Wealth Development, Retail Banking and Wealth Management, Hong Kong, The Hongkong and Shanghai Banking Corporation Limited - Kelvin Yip, Chief Operating Officer, Hong Kong, iFAST Financial (HK) Limited
11:15	Regional cooperation <ul style="list-style-type: none"> - Fund Passports: ASEAN, ARFP and mutual recognition between the Mainland and Hong Kong - what do these passports mean for fund managers and regulators? - Enhancing industry standard and professionalism Moderator: Andrew Turner, HKIFA Executive Committee member Panelists: <ul style="list-style-type: none"> - Mark Austen, Chief Executive Officer, Asia Securities Industry & Financial Markets Association - Christina Choi, Senior Director, Investment Products, Securities and Futures Commission, Hong Kong - Gerard Fitzpatrick, Senior Executive Leader, Investment Managers and Superannuation, Australian Securities and Investments Commission - Eugene Wong, Executive Director, Corporate Finance & Investments, Securities Commission Malaysia
12:15	Networking Lunch
1:30	Product innovation – how to balance risk management and growth? <ul style="list-style-type: none"> - Sharing of success story: TianHong Zeng Li Bao/Yu E Bao from the Mainland - How to re-engineer product development to meet the needs of investors from different segments (retail, HNWI etc.) Moderator: Isabella Chan, HKIFA Executive Committee member Panelists: <ul style="list-style-type: none"> - Steve Chiu, Vice President, Investment Funds, Manulife (International) Limited - Dany Dupasquier, Head, Group Funds, Group Wealth Management, Standard Chartered Bank - Yoon Ng, Asia Research Director, Cerulli Associates - Zhou Xiaoming, Deputy General Manager, TianHong Asset Management Company Limited
2:30	Coffee Break
3:00	Leveraging operational innovation to deal with business issues <ul style="list-style-type: none"> - Margin compression - Increased regulation and supervision - Client service demands - Transparency Moderator: Graham Turl, HKIFA Executive Committee member Panelists: <ul style="list-style-type: none"> - Dean Chisholm, Regional Head of Operations, Asia Pacific, Invesco Asset Management Pacific Limited - Sean Colvin, Partner, Consulting, PricewaterhouseCoopers Consulting Hong Kong Limited - Ian Stephenson, Global Head of Fund Services, HSBC Securities Services, The Hongkong and Shanghai Banking Corporation Limited - Lisa Wong, Head of Infomediary Sales and Client Relationship Management in Asia Pacific, Brown Brothers Harriman (Hong Kong) Limited
3:50	The future pension development in Hong Kong <ul style="list-style-type: none"> - Opportunities and challenges: from Employee Choice Arrangement (“ECA”) to full portability, and implication in terms of distribution, products and services, and cross-over between MPF and retail fund distribution - Bridging the pension gap – Universal Pension and Third Pillar as potential solution Moderator: Bruno Lee, HKIFA Chairman Panelists: <ul style="list-style-type: none"> - Darren McShane, Chief Regulation and Policy Officer & Executive Director, Mandatory Provident Fund Schemes Authority - Philip Tso, Director, Investment Services, Hong Kong, Towers Watson - Elvin Yu, Managing Director, Head of Institutional Business, Greater China and South East Asia, Allianz Global Investors
4:50	Closing Remarks Terry Pan, HKIFA Vice Chairman

Agenda updated 27 October 2014.

• Please note that the programme and speakers are subject to change.

Hong Kong Investment Funds Association 8th Annual Conference

Monday, November 3rd, 2014

Grand Ballroom, JW Marriott Hotel, Hong Kong

Registration Fee

Members of HKIFA Members of Endorsing Organizations Staff of other Fund Associations	HK\$4,100 per person*
Standard Fee	HK\$5,400 per person*

*The fee includes conference cost, lunch, refreshments and conference documentations.

Registration Methods

	or		or		or	
Mail		Fax		Email		Online
17/F, Chung Hing Comm Bldg 62-63 Connaught Road Central Hong Kong						
(852) 3905-7075 hkifa@plus-concepts.com http://www.plus-concepts.com/hkifa2014						
Enquiries:  (852) 8203-6091 or email to hkifa@plus-concepts.com						

Registration Form

☐ HKIFA member

☐ Member of the following endorsing organization:

☐ Staff of other fund associations

☐ None of the above

☐ Mr. ☐ Ms. ☐ Mrs. ☐ Dr.

(Please Use BLOCK LETTERS)

Name: _____

Email: _____

Job Title: _____

Department: _____

Company/Organization: _____

Address: _____

Nature of Business: _____

Tel:() _____ Fax:() _____

Mobile: _____

Signature _____ Date _____

Privacy: Personal data are gathered in accordance with the Personal Data (Privacy) Ordinance. The personal data collected may be used for statistical purposes, and for marketing of HKIFA's own events/activities. Also, the delegate list (name, title & company name only and without contact details) will be made available to the event sponsors. Please be rest assured that HKIFA/Plus Concepts will not provide your contact details to any third parties. HKIFA/Plus Concepts will cease to use the personal data for any purposes if you request us to do so and accordingly the personal data will be erased and/or destroyed.

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Event Organizer

This event is organized by the Hong Kong Investment Funds Association ("HKIFA"). Plus Concepts has been appointed as the event manager of this Conference to collect payments and handle registrations. For more information, please contact Plus Concepts at (852) 8203-6091.

Accreditations

Securities & Futures Commission Hong Kong	5.5 CPT Hours*
Mandatory Provident Fund Schemes Authority (core)	1 Core CPD Hour**
The Law Society of Hong Kong	5.5 CPD Points

*Subject to individual company's compliance approval. Delegates will be required to keep all such documentary evidence to support your attendance such as certificates of attendance. The SFC may request you to produce such documentary evidence as and when required.

**For the Panel: "The future pension development in Hong Kong". According to the MPFA's Guidelines on Continuing Training for Subsidiary Intermediaries, Non-core CPD activities are any continuing training activity which is approved or accepted by an industry regulator (including SFC), the Insurance Agents Registration Board or the relevant insurance broker body for the purpose of maintaining the Type B regulatee status of the subsidiary intermediary. As such, participants also can obtain 4.5 non-core CPD hours by attending the conference.

For statistical purpose (please tick where appropriate):

- ☐ CPD hrs - MPFA (If you are an MPF Intermediary, please refer to Appendix 1)
- ☐ CPT hrs - SFC
- ☐ CPD points - Law Society of Hong Kong

Payment Methods

Registration is confirmed only upon receipt of full payment. Upon receipt of full payment, Plus Concepts will issue an email confirmation and receipt. Payment can be made by

- ☐ (1) **Cheque:** Hong Kong bank cheque payable to
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62-63 Connaught Road Central
Hong Kong

- ☐ (2) **By Bank Transfer into Plus Concepts account**

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-All the local and overseas bank charges should be paid by the participant

- ☐ (3) **Credit Card Payment:** Only available through online registration on website www.plus-concepts.com/hkifa2014

Other Information

Venue: Grand Ballroom, JW Marriott Hotel Hong Kong. (Address: One Pacific Place, 88 Queensway, Hong Kong SAR)

Substitutions: You may make substitution at any time before the conference. Please notify us as soon as possible. **Conference fees will not be refunded.**

Rescheduling: The conference will be cancelled if No. 8 typhoon signal or black rainstorm warning is hoisted on the event day. In the event of cancellation due to bad weather, the conference will be rescheduled. Conference fees will not be refunded.

Accommodation, Travel and Other Incidental Expenses: We are NOT responsible for covering accommodation, airfare, other travel or incidental costs incurred by registrations.

Bank Charges and Expenses: Any bank charges and/or expenses incurred as a result of bounced cheques and/or wire transfer will be borne by the participants.

Disclaimer: We reserve the right to alter the contents of this conference and/or the identity of the conference presenters due to circumstances beyond our control.

Incorrect Mailing Information: It is possible that you may receive multiple emails/mailings of this event, for which we apologise. If this happens, please let us know so that we can update our database immediately and we hope you will pass the duplicated copies on to your colleagues. If you do not wish to have your name on our mailing list, please let us know and we will remove it from our database accordingly.

Passport & Visa: A visitor must, upon arrival in Hong Kong, present a valid travel document and visa (if a visa is required). For details, please visit the HKSAR government website: www.immd.gov.hk/ehtml/hkvisas_4.htm. You may require a letter from the event host, detailing the nature and duration of your proposed stay in Hong Kong with your visa application. The letter is available on request from hkifa@plus-concepts.com.

Hong Kong Investment Funds Association

Website: <http://www.hkifa.org.hk> Email: hkifa@hkifa.org.hk
Tel: (852) 2537 9912 Fax: (852) 2877 2368

Appendix 1

According to MPFA's measure for monitoring compliance of MPF CPD requirements, course providers need to seek the consent of MPF intermediaries to release their training-related personal data to the MPFA. For details, please refer to the FAQs on Consent to Continuing Professional Development Activity Personal Information Transfer on the MPFA website (under Information Centre section). ***If you are an MPF intermediary, please complete the below form and return it to the organizer.***

Consent to Personal Information Transfer and Matching Procedure

I am an MPF individual intermediary, and my MPF Registration No. is: _____

I, _____ (name as shown on HKID/Passport) HKID/Passport no. _____, refer to my application / enrollment for the core MPF Continuing Professional Development Activity organized by Hong Kong Investment Funds Association.

- (a) I consent to the above named course provider transferring my personal data (including but not limited to my name, my MPF registration number, my HKID/passport number, and the name, date and CPD hours of the MPF CPD courses that I have attended) ("Data") to the Mandatory Provident Fund Schemes Authority ("MPFA"), for the purpose of monitoring my compliance with the CPD requirements.
- (b) I also consent to the above named course provider releasing my personal data to the MPFA for the purpose of performing matching procedure or process between the Data and my personal data collected by the MPFA from me as part of the MPFA's monitoring procedures in relation to my compliance with the CPD requirements.

Signature of MPF Individual Intermediary

Date: _____

Please send the completed form to Barbara Law of Plus Concepts
by email (hkifa@plus-concepts.com) or fax (852 - 3905 7075)