

# HKIB Annual Banking Conference 2015

25<sup>th</sup> September (Fri), HKCEC

## Programme

Simultaneous Interpretation (Mandarin and English) will be provided for the morning session and Stream 1.  
Only the Morning Plenary is open to the press.

### Morning Plenary (9:00am-1:00pm)

9:00	<b>Opening Remarks</b> Carrie Leung, CEO, The Hong Kong Institute of Bankers
9:05	<b>Welcome and Setting the Scene</b> Patrick Fung, Chairman of Executive Committee, The Hong Kong Institute of Bankers and Chairman, OCBC Wing Hang Bank
9:10	<b><u>Opening Keynote:</u> Opportunities for Hong Kong as International Financial Hub</b> Prof. K C Chan, Secretary for Financial Services and the Treasury, The Government of the HKSAR
9:40	<b><u>Regulatory Keynote:</u> FinTech - A Bank Regulator's Perspective</b> <ul style="list-style-type: none"><li>- Observations about Fintech development</li><li>- HKMA's regulatory stance and principles</li></ul> Arthur Yuen, Deputy Chief Executive, Hong Kong Monetary Authority and Deputy Chairman, Executive Committee, The Hong Kong Institute of Bankers
10:10	<b>Coffee Break</b>
10:40	<b><u>CEO Panel:</u> The New Normal of Banking</b> [This Session will be conducted in Mandarin] <ul style="list-style-type: none"><li>- The new normal in customer preferences, channel, products, technology, markets etc.</li><li>- The action plan for banks</li></ul> <ul style="list-style-type: none"><li>• Chen Aiping, Chairman, Managing Director and Chief Executive Officer, Industrial and Commercial Bank of China (Asia)</li><li>• Mao Yumin, Chief Executive Officer, China Construction Bank (Asia)</li><li>• Shou Fugang, Chief Executive Officer, Bank of Communications</li></ul> Moderator: Andy Xie, Independent Economist
11:20	<b>FinTech - Front and Center of Banking Transformation</b> <ul style="list-style-type: none"><li>- What is happening in the world of FinTech</li><li>- What it means for banking</li><li>- How does one learn from them</li><li>- How can Hong Kong play a role</li><li>- Overall see it an opportunity not just a threat</li></ul> Edge Zarrella, Partner, Clients and Innovation Partner, KPMG
11:45	<b><u>Keynote Speech:</u> Development of Wealth Management Business in China</b> [This Session will be conducted in Mandarin] <ul style="list-style-type: none"><li>- The latest China Banking Association survey findings</li></ul> Li Zhenjiang, Executive Vice President, Agricultural Bank of China
12:00	<b>The Developments of the China Markets and its Impact to Hong Kong</b> Anthony Francis Neoh, Former Chair, HKSF and Former Chief Advisor, CSRC
12:30	<b><u>Exclusive Interview:</u> Online Bank - A New Vision for the Digital Age (Pre-Recorded)</b> [This Session will be conducted in Mandarin] Yu Shengfa, Governor, MYbank
12:45	<b>The Trend and Developments of China Bond Markets</b> [This Session will be conducted in Mandarin] <ul style="list-style-type: none"><li>- The developments in China Bond Markets infrastructure</li><li>- Offshore RMB bond market developments</li></ul> Wang Ping, Vice President, China Central Depository & Clearing
1:00	<b>End of Morning Session</b>

Stream 1: Banking Business (2:00-5:30pm)	
2:00	<p><b><u>Panel:</u> Navigating the Changing "Normal" - The New Opportunities and Challenges</b></p> <ul style="list-style-type: none"> <li>- "One Belt, One Road", AIIB - Are we ready?</li> <li>- Capitalizing on Fund Mutual Recognition</li> <li>- The changing "normal" for retails, corporate and private banks.</li> <li>- Where are the new opportunities?</li> </ul> <ul style="list-style-type: none"> <li>- <b>Alex Cheung</b>, Managing Director, Head of Institutional Banking Group, Hong Kong, DBS Bank Ltd, Hong Kong Branch, DBS Bank (Hong Kong) Limited</li> <li>- <b>Elaine Lai</b>, Head of Wealth Development, Retail Banking and Wealth Management, Hong Kong, The Hongkong and Shanghai Banking Corporation Limited</li> <li>- <b>Bruno Lee</b>, Head of Partnership, Product and Platform Development, Wealth and Asset Management, Asia, Manulife Asset Management</li> <li>- <b>Connie Leung</b>, Senior Financial Services Industry Director, Industry and Global Accounts – Asia, Microsoft Corporation</li> <li>- <b>Alan Luk</b>, Head of Private Banking and Trust Services, Hang Seng Bank</li> <li>- <b>Tse Kwok Leung</b>, Head of Policy &amp; Economic Research, Bank of China (Hong Kong)</li> <li>- <b>Anna Yip</b>, Head of Hong Kong and Macau, MasterCard</li> </ul> <p>Moderator: <b>Paul McSheaffrey</b>, Partner, Financial Services, KPMG</p>
3:15	<b>Coffee Break</b>
3:45	<p><b>HKIB Annual Banking Survey and Branch Transformation</b></p> <ul style="list-style-type: none"> <li>- Findings of the HKIB annual survey</li> <li>- Updates on customer preferences on wealth management, services and channels</li> <li>- Branch Transformation Case Studies</li> </ul> <p><b>Ernest Wang</b>, General Manager, Shanghai Terra Nova Telecom  <b>Winnie Yeung</b>, Managing Director, Cimigo</p>
4:15	<p><b><u>Panel:</u> Banking Transformation - New Models, New Channels</b></p> <ul style="list-style-type: none"> <li>- Services and channel transformation</li> <li>- Revamping operating models</li> <li>- Strategies to improve competitiveness and profitability</li> </ul> <ul style="list-style-type: none"> <li>- <b>Edward Chiu</b>, General Manager and Head of Operations, China Construction Bank (Asia)</li> <li>- <b>Cecilia Ko</b>, Deputy General Manager, Investor Education Centre</li> <li>- <b>Kenneth Tsin</b>, Head of Channel Management &amp; Operations Department, The Bank of East Asia</li> <li>- <b>Dominic Wu</b>, Managing Director and Senior Risk Manager, APAC, BNY Mellon</li> </ul> <p>Moderator: <b>Jan Bellens</b>, Global Emerging Markets Leader/Asia-Pacific Leader, Banking &amp; Capital Markets, EY</p>
5:30	<b>End of Conference</b>

Stream 2: FinTech Innovation (2:00-5:30pm)	
2:00	<p><b><u>Regulatory Update:</u> Cyber Security – Regulatory and Industry Initiatives</b></p> <ul style="list-style-type: none"> <li>- The cyber security threats for financial institutions</li> <li>- Initiatives from the Industry and the regulator</li> </ul> <p><b>Brian Lee</b>, Head of Operational, Technology and Treasury Risks Division, Banking Supervision Department, Hong Kong Monetary Authority</p>
2:25	<p><b>Real-Time Digital Banking With Fast Data</b></p> <ul style="list-style-type: none"> <li>- Use of Fast Data to improve customer experiences</li> <li>- Optimizing business operations with the Fast Data approach</li> </ul> <p><b>Kevin Pool</b>, CTO, TIBCO Asia</p>
2:50	<p><b>Redefining Customer Engagement to Create Rewarding Experiences</b></p> <p><i>Mobile, social and other developments are changing consumers' banking behaviors and priorities. This new reality presents exceptional opportunities for banks to deliver differentiating value through more personalized, relevant and timely interactions. In the session, IBM's consulting leader will share some cases in the banking sector on building the multichannel strategy that allows anytime, anywhere, any device access with consistent experience across channels, where intents are captured, insights are derived and conversations are personalized and optimized.</i></p> <p><b>Nick Goldsmith</b>, Commerce Consulting Leader, IBM Greater China</p>
3:15	<b>Coffee Break</b>
3:45	<p><b><u>Panel:</u> Turning FinTech into Value - Putting Innovation into Action</b> (in association with the Hong Kong Computer Society)</p> <ul style="list-style-type: none"> <li>- The impacts of FinTech innovations on banks</li> <li>- Leverage on the FinTech Opportunities - The action plan for banks</li> <li>- The hurdles in adoption and realizing innovations.</li> <li>- Case Studies</li> </ul> <p>Introductory speech: <b>Ira Dhalawong</b>, Executive Director, Financial Services Advisory, EY</p> <ul style="list-style-type: none"> <li>- <b>Fiona Chan</b>, Program Manager, FinTech Innovation Lab, Asia Pacific, Accenture</li> <li>- <b>Angus Choi</b>, Chief Executive Officer, JETCO</li> <li>- <b>Michael Drake</b>, Head of Business Development – Asia, Symphony Communication Services</li> <li>- <b>Cédric Jeannot</b>, Founder and CEO, APrivacy Ltd.</li> <li>- <b>Peter Stephens</b>, Head of Regulatory Architecture &amp; APAC CTO, UBS AG</li> </ul> <p>Moderator: <b>Michael Mudd</b>, Managing Partner, Asia Policy Partners LLC</p>
5:30	<b>End of Conference</b>

Stream 3: Compliance and Risk Management (2:00-5:30pm)	
2:00	<p><b><u>Regulatory Update:</u> Putting ‘Risk-Based’ in Anti-Money Laundering: The Road Ahead</b></p> <ul style="list-style-type: none"> <li>- Risk-Based supervision - concepts</li> <li>- The roadmap for putting Risk-Based in AML regulation</li> </ul> <p><b>Stewart McGlynn</b>, Head, Anti-Money Laundering and Financial Crime Risk, Banking Supervision, Hong Kong Monetary Authority</p>
2:25	<p><b><u>Panel:</u> AML - Addressing the Implementation Challenges and Meeting the Regulatory Expectations</b></p> <ul style="list-style-type: none"> <li>- Issues and challenges in cross-border compliance</li> <li>- Establishing an effective system in AML/CFT and Sanctions</li> </ul> <p>- <b>Jacky Leung</b>, Director, Compliance - Asia Pacific, The Bank of Nova Scotia</p> <p>- <b>Edgar Ma</b>, Director of Compliance, Citibank</p> <p>- <b>Stewart McGlynn</b>, Head, Anti-Money Laundering and Financial Crime Risk, Banking Supervision, Hong Kong Monetary Authority</p> <p>- <b>Michael Thomas</b>, Director, Wolters Kluwer Financial Services</p> <p>Moderator: <b>Kyran McCarthy</b>, Partner, Asia-Pacific Head of AML &amp; Sanctions Services, KPMG</p>
3:15	<b>Coffee Break</b>
3:45	<p><b>Digital Innovations On-the-Go! Improving Security, Ease-of-use, yet Business Growth</b></p> <p>Online banking has transformed the financial services industry, enabling bankers to provide better service to their customers while reducing operating costs. However, this requires banks to consider the use of stronger forms of authentication to ensure customers can verify their identity. In the past, many banks provided their customers with hardware security devices and tokens to use as a form of strong authentication. Now, the fast-growing use of mobile devices such as smartphones is spurring the mobile banking and commerce market. However, convenience is a major factor for smartphone users, which makes the use of hardware tokens unsuitable. Biometric security will provide the answer for banks and other financial institutions, who will be able to cash in on growing consumer acceptance of biometrics to improve both security and convenience. With the speaker's, Barry, focus on banking industry over the years, this session will bring some of his industry-based perspectives particularly the alignment of customer experience journey with technology strategy and innovations to help mitigate risks, enhance ease-of-use and ultimately drive business growth.</p> <p><b>Barry Chan</b>, Partner, Financial Services Sector, IBM Global Business Services, IBM China/Hong Kong Limited</p>
4:10	<p><b><u>Panel:</u> Converting Risk and Compliance into Business Benefits</b></p> <ul style="list-style-type: none"> <li>- The changing roles of compliance and risk management</li> <li>- How compliance efforts can bring business benefits for banks</li> </ul> <p>- <b>Derek Chan</b>, Head of Legal &amp; Compliance Department, Agricultural Bank of China Limited, Hong Kong Branch</p> <p>- <b>K.F. Lam</b>, Head of Compliance, United Overseas Bank, Hong Kong Branch</p> <p>- <b>Fred Pucci</b>, Global Head of Compliance Markets, ANZ Bank</p> <p>- <b>Janette Yu</b>, Head of Legal &amp; Compliance Department, China Construction Bank (Asia)</p> <p>Moderator: <b>Benny Yim</b>, Independent Consultant</p>
5:30	<b>End of Conference</b>